

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of ) Art Unit:  
William E. Baumzweiger and )  
Kathleen L. Hannan )  
Serial No.: ) Examiner:  
Filed: )  
For: Brainstem and Limbic )  
Disorder (BALD) )  
\_\_\_\_\_ )

Commissioner Of Patents And Trademarks  
Washington, DC, 20231

DECLARATION FOR UTILITY PATENT APPLICATION  
AND POWER OF ATTORNEY

Sir:

DECLARATION

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that we are the original, first, and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Brainstem and Limbic Disorder (BALD)

I have reviewed and understand the contents of the above-identified specification, including the claims. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code Of Federal

001120 95920500

1 Regulations, Section 1.56(a)

2 I hereby declare that all statements made herein of my own  
3 knowledge are true and that all statements made on information  
4 and belief are believed to be true; and further that these  
5 statements were made with the knowledge that willful false  
6 statements and the like so made are punishable by fine or  
7 imprisonment, or both, under section 1001 of Title 18 of the  
8 United States Code, and that such willful false statements may  
9 jeopardize the validity of the foregoing statements.

10 DATED 01/07/2000 William E. Baumzweiger MD  
William E. Baumzweiger

11 Residence & Citizenship: Studio City, California, 91604  
12 Citizen of USA

13 Post Office Address: 11357 Hendley Drive  
Studio City, CA, 91604

14 DATED 12/22/99 Kathleen L. Hannan MD  
15 Kathleen L. Hannan

16 Residence & Citizenship: Orlando, Florida, 32837  
17 Citizen of USA

18 Post Office Address: 14332 Cheverleigh Drive  
Orlando, FL, 32837

19  
20 POWER OF ATTORNEY

21 As named inventor, I hereby appoint the following attorney  
22 to prosecute this application and transact all business in the  
23 Patent and Trademark Office connected therewith:

24 Nathan Boatner  
Registration No 32856

25 Please Address All Communications To:

26 Nathan Boatner, Attorney  
27 PMB 692, 7095 Hollywood Blvd,  
Los Angeles, CA, 90028  
28 (213) 840-8286

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

Respectfully Submitted.

DATED: 01/07/2000

William E. Baumzweiger MD  
William E. Baumzweiger

DATED: 12/22/99

Kathleen L. Hannan MD  
Kathleen L. Hannan

1  
2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
3

4 In Re: Application of ) Art Unit:  
5 William E. Baumzweiger and )  
6 Kathleen L. Hannan ) Examiner:  
7 Serial No.: )  
8 Filed: )  
9 For: Brainstem and Limbic )  
Disorder (BALD) )  
10 \_\_\_\_\_ )

11 Commissioner Of Patents And Trademarks  
12 Washington, DC, 20231

13 SMALL ENTITY DECLARATION

14 Sir:

15 As the below-named inventor, I hereby declare that I qualify as  
16 an independent inventor as defined in 37 CFR 1.9(c) for purposes of  
17 paying reduced fees under Section 41(a) and (b) of Title 35 United  
18 States Code to the Patent and Trademark Office with regard to my  
19 above-identified invention described in the specification filed  
20 herewith. I have not assigned, granted, conveyed, or licensed--and  
21 am under no obligation under any contract or law to assign, grant,  
22 convey, or license--any rights in the invention to either (a) any  
23 person who could not be classified as an independent inventor under  
24 37 CFR 1.9(c) if that person had made the invention, or (b) any  
25 concern which would not qualify as either (i) a small business  
26 concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under  
27 37 CFR 1.9(e).

28 /

001120 95950500

001720 95960560

1 I acknowledge a duty to file, in the above application for  
2 patent, notification of any change in status resulting in loss of  
3 entitlement to small entity status prior to paying, or at the time  
4 of paying, the earliest of the issue fee or any maintenance  
5 fee due after the date on which status as a small entity is no  
6 longer appropriate. (37 CFR 1.28(b)).

7 I hereby declare that all statements made herein of my own  
8 knowledge are true and that all statements made on information  
9 and belief are believed to be true; and further that these  
10 statements were made with the knowledge that willful false  
11 statements and the like so made are punishable by fine or  
12 imprisonment, or both, under section 1001 of Title 18 of the  
13 United States Code, and that such willful false statements may  
14 jeopardize the validity of the foregoing statements.

15  
16 DATED 01/07/2000 William E. Baumzweiger MD  
17 William E. Baumzweiger  
18 DATED 12/22/99 Kathleen L Hannan MD  
19 Kathleen L Hannan  
20  
21  
22  
23  
24  
25  
26  
27  
28